



ANTI-MONEY LAUNDERING DIVISION

NOTICE

- TO:** SECURITIES BROKERS AND DEALERS, INVESTMENT HOUSES, UNDERWRITERS OF SECURITIES, GOVERNMENT SECURITIES ELIGIBLE DEALERS, INVESTMENT COMPANIES/MUTUAL FUND, INVESTMENT COMPANY ADVISERS, MUTUAL FUND DISTRIBUTORS, REIT FUND MANAGERS, FINANCING COMPANIES AND LENDING COMPANIES
- SUBJECT:** REQUIREMENT TO ATTEND ORGANIZED TRAINING SESSIONS BY THE ANTI-MONEY LAUNDERING COUNCIL (AMLC) FOR 2024 AND SUBMIT PROOF OF TRAINING TO THE ANTI-MONEY LAUNDERING DIVISION
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Pursuant to Section 4 of the 2018 Implementing Rules and Regulations of Republic Act No. 9160 or the 2018 AMLA IRR and Chapter 11 Section 11.1 of the SEC Memorandum Circular No. 16, series of 2018, covered persons shall provide all their responsible officers and personnel with efficient and effective training and continuing education programs to enable them to fully comply with all their obligations under the AMLA.

In addition, under Section 4.3 of the 2018 AMLA IRR, attendance by covered persons' directors, officers and employees in all education and training programs, whether internally or externally organized, shall be documented. Copies of training certificates, attendance and materials, and shall be made available to the AMLC and the Supervising Agencies, upon request.

To ensure that covered persons are updated and informed with the new developments brought about by new legislations, rules and regulations, and other AMLC issuances, all SEC covered persons' directors, officers and/or employees are hereby notified to **attend and participate** in the **2024 Anti-Money Laundering/Counter-Terrorism Financing (AML/CTF) training sessions** on **AML/CTF Fundamentals, AMLC Registration and Reporting Guidelines (ARRG)** and **Targeted Financial Sanctions (TFS)** organized and provided by the AMLC.

Accordingly, all SEC covered persons are directed to **submit a copy of the Certificate of Participation** received as proof to the Anti-Money Laundering Division of the Enforcement and Investor Protection Department (AMLD-EIPD) of the Commission.

AMLC training sessions attended prior this notice but **within 2024** shall be accepted. For those who have not yet attended the AMLC training sessions for **2024** are given until **15 September 2024** to attend and to submit proof of such training.

The following procedures shall be followed:

1. To access the AMLC trainings and, kindly create an account in the AMLC Learning Management System (LMS) and enroll in the available courses at <https://amlctftrainings.thinkific.com/pages/anti-money-laundering-council>;
2. All SEC CPs shall collate and submit copies of the Certificate of Participation issued by the AMLC;
3. In submitting such proof of training, the CPs shall use the official email address filed with the Commission pursuant to SEC Memorandum Circular No. 28, Series of 2020;
4. The proof of training shall be submitted via electronic mail to this email address: amlcft@sec.gov.ph. There is **no need** to submit a hard copy.
5. The email should contain the following subject: *Company Name - Submission of Proof of AML/CTF Training*

For inquiries, please email the AMLD-EIPD at eipd-amld@sec.gov.ph.

For information and strict compliance.