


**QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS**  
As at the Quarter Ending March 31, 2024

Department : Department of Finance (DOF)  
 Agency/Entity : Securities and Exchange Commission  
 Operating Unit : < not applicable >  
 Organization Code (UACS) : 11 011 0000000  
 Fund Cluster : 01 - Regular Agency Fund

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTr	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
Regular Agency Fund		0.00	39,220,660.61	0.00	0.00	0.00	39,220,660.61	25,167,007.44	14,155,925.84	39,322,933.28	39,220,660.61	0%	
Revenue Collections		0.00	25,064,734.77	0.00	0.00	0.00	25,064,734.77	25,167,007.44	0.00	25,167,007.44	25,064,734.77	0%	
Cash Revenue		0.00	25,064,734.77	0.00	0.00	0.00	25,064,734.77	25,167,007.44	0.00	25,167,007.44	25,064,734.77	0%	
Non-Tax		0.00	25,064,734.77	0.00	0.00	0.00	25,064,734.77	25,167,007.44	0.00	25,167,007.44	25,064,734.77	0%	
Registration Fees	4020102000	0.00	10,436,800.40	0.00	0.00	0.00	10,436,800.40	10,436,800.40	0.00	10,436,800.40	10,436,800.40	0%	
Licensing Fees	4020106000	0.00	3,720,368.23	0.00	0.00	0.00	3,720,368.23	3,743,180.73	0.00	3,743,180.73	3,720,368.23	0%	
Fines and Penalties - Service Income	4020114000	0.00	6,787,457.59	0.00	0.00	0.00	6,787,457.59	6,818,762.76	0.00	6,818,762.76	6,787,457.59	0%	
Other Service Income	4020190999	0.00	3,068,497.55	0.00	0.00	0.00	3,068,497.55	3,140,527.55	0.00	3,140,527.55	3,068,497.55	0%	
Seminar/Training Fees	4020204000	0.00	763,011.00	0.00	0.00	0.00	763,011.00	739,511.00	0.00	739,511.00	763,011.00	0%	
Miscellaneous Income	4060999000	0.00	288,600.00	0.00	0.00	0.00	288,600.00	288,225.00	0.00	288,225.00	288,600.00	0%	
Non-Revenue Collections/Other Receipts		0.00	14,155,925.84	0.00	0.00	0.00	14,155,925.84	0.00	14,155,925.84	14,155,925.84	14,155,925.84	0%	
Cash Receipts		0.00	14,155,925.84	0.00	0.00	0.00	14,155,925.84	0.00	14,155,925.84	14,155,925.84	14,155,925.84	0%	
Others		0.00	14,155,925.84	0.00	0.00	0.00	14,155,925.84	0.00	14,155,925.84	14,155,925.84	14,155,925.84	0%	
Due from Officers and Employees	1030502000	0.00	32,939.26	0.00	0.00	0.00	32,939.26	0.00	32,939.26	32,939.26	32,939.26	0%	
Other Receivables	1030599000	0.00	14,107,156.88	0.00	0.00	0.00	14,107,156.88	0.00	14,107,156.88	14,107,156.88	14,107,156.88	0%	
Government Equity	3010101000	0.00	15,829.70	0.00	0.00	0.00	15,829.70	0.00	15,829.70	15,829.70	15,829.70	0%	
<b>GRAND TOTAL</b>		0.00	39,220,660.61	0.00	0.00	0.00	39,220,660.61	25,167,007.44	14,155,925.84	39,322,933.28	39,220,660.61	0%	

Certified Correct:  
  
 MA. ALISA A. JUMANGIT  
 Assistant Director, Accounting Division  
 Date:

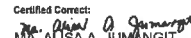
Recommending Approval By:  
  
 DONDIE D. ESGUERRA  
 Director, Financial Management Department  
 Date:

Approved By:  
  
 EMILIO B. AGUILO  
 Chairperson  
 Date:

**QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS**  
As at the Quarter Ending March 31, 2024

Department : Department of Finance (DOF)  
 Agency/Entity : Securities and Exchange Commission  
 Operating Unit : < not applicable >  
 Organization Code (UACS) : 11 011 0000000  
 Fund Cluster : 05 - Internally Generated Funds

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTr	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
Internally Generated Funds		3,380,948,452.35	791,494,819.06	0.00	0.00	0.00	791,494,819.06	0.00	825,051,716.29	825,051,716.29	(2,599,453,633.29)		-77 %
Revenue Collections		3,380,948,452.35	791,494,819.06	0.00	0.00	0.00	791,494,819.06	0.00	825,051,716.29	825,051,716.29	(2,599,453,633.29)		-77 %
Cash Revenue		3,380,948,452.35	791,494,819.06	0.00	0.00	0.00	791,494,819.06	0.00	825,051,716.29	825,051,716.29	(2,599,453,633.29)		-77 %
Non-Tax		3,380,948,452.35	791,494,819.06	0.00	0.00	0.00	791,494,819.06	0.00	825,051,716.29	825,051,716.29	(2,599,453,633.29)		-77 %
Registration Fees	4020102000	2,878,012,544.83	546,563,703.29	0.00	0.00	0.00	546,563,703.29	0.00	567,091,461.64	567,091,461.64	(2,331,448,841.54)		-81 %
Licensing Fees	4020108000	28,532,131.72	2,729,977.37	0.00	0.00	0.00	2,729,977.37	0.00	2,727,977.37	2,727,977.37	(26,202,154.35)		-91 %
Fines and Penalties - Service Income	4020114000	272,998,445.50	55,832,423.22	0.00	0.00	0.00	55,832,423.22	0.00	69,027,392.10	69,027,392.10	(217,166,022.28)		-80 %
Other Service Income	4020189099	210,535,867.06	185,170,715.18	0.00	0.00	0.00	185,170,715.18	0.00	185,006,885.18	185,006,885.18	(25,365,151.88)		-12 %
Seminar/Training Fees	4020204000	469,463.24	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(469,463.24)		-100 %
Rent/Lease Income	4020205000	0.00	1,120,000.00	0.00	0.00	0.00	1,120,000.00	0.00	1,120,000.00	1,120,000.00	1,120,000.00		0 %
Miscellaneous Income	4060999000	0.00	78,000.00	0.00	0.00	0.00	78,000.00	0.00	78,000.00	78,000.00	78,000.00		0 %
<b>GRAND TOTAL</b>		<b>3,380,948,452.35</b>	<b>791,494,819.06</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>791,494,819.06</b>	<b>0.00</b>	<b>825,051,716.29</b>	<b>825,051,716.29</b>	<b>(2,699,453,633.29)</b>		<b>-77 %</b>

Certified Correct:  
  
 MA. ALISA A. JUMANGIT  
 Assistant Director, Accounting Division  
 Date:

Recommending Approval By:  
  
 DONDIE O. ESQUERRA  
 Director, Financial Management Department  
 Date:

Approved By:  
  
 EMILIO B. AGUIÑO  
 Chairperson  
 Date:

**QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS**  
As at the Quarter Ending March 31, 2024

Department : Department of Finance (DOF)  
 Agency/Entity : Securities and Exchange Commission  
 Operating Unit : < not applicable >  
 Organization Code (UACS) : 11 011 0000000  
 Fund Cluster : 07 - Trust Receipts

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTR	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
Trust Receipts		0.00	8,094,899.21	0.00	0.00	0.00	8,094,899.21	6,179,274.09	2,133,999.20	8,313,273.29	8,094,899.21	0 %	
Inter-Agency Transferred Funds (IATF) Deposited with the National Treasury		0.00	7,549,490.01	0.00	0.00	0.00	7,549,490.01	6,179,274.09	1,588,590.00	7,767,864.09	7,549,490.01	0 %	
Legal Research Fee - University of the Philippines		0.00	5,971,670.01	0.00	0.00	0.00	5,971,670.01	6,179,214.09	0.00	6,179,214.09	5,971,670.01	0 %	
Documentary Stamp Tax		0.00	1,577,820.00	0.00	0.00	0.00	1,577,820.00	60.00	1,588,590.00	1,588,650.00	1,577,820.00	0 %	
Trust Receipts Deposited with Authorized Government Depository Banks (AGDB)		0.00	545,409.20	0.00	0.00	0.00	545,409.20	0.00	545,409.20	545,409.20	545,409.20	0 %	
Guaranty/Security Deposit		0.00	545,409.20	0.00	0.00	0.00	545,409.20	0.00	545,409.20	545,409.20	545,409.20	0 %	
<b>GRAND TOTAL</b>		0.00	8,094,899.21	0.00	0.00	0.00	8,094,899.21	6,179,274.09	2,133,999.20	8,313,273.29	8,094,899.21	0 %	

Certified Correct:  
*MA. ALISA A. JUMANGI*  
 Assistant Director, Accounting Division  
 Date:

Recommending Approval By:  
*DONDE O. ESGUERRA*  
 Director, Financial Management Department  
 Date:

Approved By:  
*EMILIO S. AQUINO*  
 Chairperson  
 Date: