

FY 2024 PHYSICAL PLAN


Department: Department of Finance (DOF)

Particulars	UACS Code	Physical Target (Budget Year)				
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
1	2	3	4	5	6	7
<b>SCORE - SRC and RCC Compliance of Regulated Entities</b>						
<b>Outcome Indicators</b>						
1) Average Compliance rate of regulated entities increased						94.29%
2) Percentage of retail participation in the capital market/various investment products increased						1.36%
<b>Output Indicators</b>						
1) Percentage of regulated entities monitored						
A. Credit Rating Agencies/ Property Valuers		100%	100%	100%	100%	100%
B. External Auditors/Audit Firms		70%	70%	70%	70%	70%
C. Ordinary Corporations						
CRMD		7.5%	7.5%	7.5%	7.5%	30%
CEO, DEO, TEO						30%
BEO, CDOEO						30%
BacEO, IEO, LEO, ZEO						30%
D. Investment Companies/ Funds (Audit)		65%	65%	65%	65%	65%
E. Proprietary/ Non-Proprietary Securities Issuers		100%	100%	100%	100%	100%
F. PLCs		100%	100%	100%	100%	100%
<b>GEARING UP! – The Enforcement and Investor Protection Program</b>						
<b>Outcome Indicator</b>						
1) Case disposition rate (i.e. either filed in court with DOJ, dismissed by SEC, revoked by SEC, fined by SEC, archived by SEC within prescribed time) increased						50%
<b>Output Indicator</b>						
1) Percentage of tips, referrals and complaints acted upon (i.e. acknowledged, verified, referred) within prescribed time		50%	50%	50%	50%	50%
<b>SEC CAN! – SEC Communication, Advocacy and Network</b>						
<b>Outcome Indicator</b>						
1) Equity market capitalization relative to GDP (%) increased						96.80%
2) Size of local currency bond market in % of GDP increased						60.65%
<b>Output Indicator</b>						
1) Number of investment promotion advocacy campaigns conducted		103	104	104	104	415


Prepared by:

In coordination with:

Approved by:

  
**AUREA THERESA M. LAXINTO**  
 Planning Services Head/Planning Officer  
 Date:

  
**LESTER V. DELA MERCED**  
 Financial Services Head/Budget Officer  
 Date:

  
**COMMISSIONER KARLO S. BELLO**  
 Officer-in-Charge  
 Date: